PTO/SB/21 (09-04)
Approved for use through 07/31/2006. OMB 0651-0031
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE the Paperwork Reduction Act of 1995, no persons are required to respond to a collection of information unless it displays a valid OMB control number. Application Number 10/769,474 TRANSMITTAL Filing Date 01/13/2004 **FORM** First Named Inventor Frasier Art Unit Examiner Name Nathan S. Mammen (to be used for all correspondence after initial filing) Attorney Docket Number 6301P0130US Total Number of Pages in This Submission **ENCLOSURES** (Check all that apply) After Allowance Communication to TC Fee Transmittal Form Drawing(s) Appeal Communication to Board Licensing-related Papers Fee Attached of Appeals and Interferences Appeal Communication to TC ✓ Petition Amendment/Reply (Appeal Notice, Brief, Reply Brief) Petition to Convert to a Proprietary Information After Final Provisional Application Power of Attorney, Revocation Status Letter Affidavits/declaration(s) Change of Correspondence Address Other Enclosure(s) (please Identify Terminal Disclaimer Extension of Time Request below): Request for Refund **Express Abandonment Request** CD, Number of CD(s) Information Disclosure Statement Landscape Table on CD Certified Copy of Priority Remarks Document(s) Reply to Missing Parts/ Incomplete Application Reply to Missing Parts under 37 CFR 1.52 or 1.53 SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT Firm Name The Law Office of Randall T. Erickson, P.C. Signature

## CERTIFICATE OF TRANSMISSION/MAILING I hereby certify that this correspondence is being facsimile transmitted to the USPTO or deposited with the United States Postal Service with sufficient postage as first class mail in an envelope addressed to: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on the date shown below: Signature Randall T. Erickson Date May , 2005

Reg. No.

33,872

Printed name

Date

Randall T. Erickson

May 6, 2005

This collection of information is required by 37 CFR 1.5. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.11 and 1.14. This collection is estimated to 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Date May 6, 2005

PTO/SB/17 (12-04v2)

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U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE d to a collection of information unless it displays a volid OMB control of the collection of

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Effective on 12/08/2004. Fees pursuant to the Consolidated Appropriations Act, 2005 (H.R. 4818).				Complete if Known					
FEE TRANSMITTAL				pplication Number 10/769,474		<del></del>			
			┺┞	Filing Date		01/13/2004			
For FY 2005				First Named Inve	-	Frasier			
Applicant claims small e	entity status	See 37 CFR 1.27	$\neg$	Examiner Name		lathan S	. Mamme	en	
	<del></del>			Art Unit	3	671		·	
TOTAL AMOUNT OF PAYM	ENT (\$)	400.00	I	Attorney Docket	No. 6	301P01	30US		
METHOD OF PAYMENT (check all that apply)									
Check Credit Card Money Order Other (please identify):									
Deposit Account Deposit Account Number: 50-2970  Deposit Account Name: Randall Erickson									
For the above-identified deposit account, the Director is hereby authorized to: (check all that apply)									
Charge fee(s) indicated below Charge fee(s) indicated below, except for the filing fee									
Charge any additional fee(s) or underpayments of fee(s)									
under 37 CFR WARNING: Information on this	1.16 and 1. form may be	17 scome public. Credit o	card info	ormation should no	t be inclu	ded on th	s form. Pro	ovide credit card	
information and authorization o	n PTO-2038	·							
FEE CALCULATION									
1. BASIC FILING, SEAR	CH, AND FILING			OU EEEO		NATION			
	9	mall Entity	SEAR	CH FEES Small Entity	EXAMI	NATION Small			
Application Type	Fee (\$)	<del></del>	Fee (\$)		<u>Fee (\$</u>			Fees Paid (\$)	
Utility	300	150	500	250	200	10	0		
Design	200	100	100	50	130	6	5		
Plant	200	100	300	150	160	8	0		
Reissue	300	150	500	250	600	30	0		
Provisional	200	100	0	0	0		0		
2. EXCESS CLAIM FEES	S					_		Small Entity	
Fee Description Fach claim over 20 (in	cluding P	aicenae)				ב	<u>ee (\$)</u> 50	<u>Fee (\$)</u> 25	
Each claim over 20 (including Reissues) Each independent claim over 3 (including Reissues)						200	100		
Multiple dependent claims						360	180		
Total Claims	Extra Clair	<u>ns</u> <u>Fee (\$)</u>	<u>Fee</u>	Paid (\$)		M	ultiple De	pendent Claims	
12 - 20 or HP = _			=			E	ee (\$)	Fee Paid (\$)	
HP = highest number of total of Indep. Claims	Extra Clair		Fee	Paid (\$)					
$\frac{5}{100}$ - 3 or HP = $\frac{2}{100}$ x $\frac{200.00}{100}$ = $\frac{400.00}{100}$									
HP = highest number of independent claims paid for, if greater than 3.  3. APPLICATION SIZE FEE									
If the specification and o	drawings o	exceed 100 sheets	of pap	er (excluding e	lectronic	cally file	d sequen	ce or computer	
listings under 37 CF						small en	tity) for e	each additional 50	
sheets or fraction thereof. See 35 U.S.C. 41(a)(1)(G) and 37 CFR 1.16(s).  Total Sheets Extra Sheets Number of each additional 50 or fraction thereof Fee (\$) Fee Paid (\$)									
100 =		/ 50 =	J. 34C	_ (round <b>up</b> to a w			Fee (	(\$) Fee Paid (\$)	
4. OTHER FEE(S)  Non-English Specification, \$130 fee (no small entity discount)  Fees Paid (\$)									
Other (e.g., late filing surcharge):									
SUBMITTED BY			. 1.	Dogistration No.					
Signature	LU 19		- 1	Registration No. 3: (Attorney/Agent)	3,872		Telephon	<sup>le</sup> 630-665-9404	

This collection of information is required by 37 CFR 1.136. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 30 minutes to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, U.S. Department of Commerce, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

Name (Print/Type) Randall T. Erickson

## MAY 1 0 2005

## IN THE UNITED STATES PATENT & TRADEMARK OFFICE

Applicant(s)	: Frasier	)			
Serial No.:	10/769,474	)	Group Art Unit: 3671		
Filed:	01/13/2004	)	Examiner:	Nathan S. Mammen	
For:	Seed Tube Guard	)			

## **AMENDMENT A**

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

Sir:

This amendment is in response to the Office Action of February 7, 2005. In the Office Action, the Examiner rejected claims 1, 5, 6 and 10 and objected to claims 2-4, 7-9, 11 and 12.

- 05/11/2005 RFEKADU1 00000004 10769474

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